1 2 3 4 5 UNITED STATES DISTRICT COURT WESTERN DISTRICT OF WASHINGTON 6 AT TACOMA 7 MARILYN BARRIGA, individually, 8 NO. Plaintiff, 9 v. COMPLAINT FOR DAMAGES NATIONAL RAILROAD PASSENGER 10 CORPORATION d/b/a AMTRAK, and JURY DEMANDED DOES ONE THROUGH FIFTY, 11 Defendants. 12 13 COMES NOW the Plaintiff and pursuant to common law and Washington statutes, claims 14 as follows: 15 I. PARTIES 16 1.1 The Plaintiff is Marilyn Barriga, a resident of King County, Washington. She was 17 a passenger on a train operated by Defendant NATIONAL RAILROAD PASSENGER 18 CORPORATION d/b/a AMTRAK (hereafter "AMTRAK") when that train derailed, causing 19 injuries to Ms. Barriga. 20 1.2 Defendant AMTRAK is a corporation organized and existing under the laws of the 21 United States of America with a principal place of business in Washington, D.C. Defendant AMTRAK has an office in King County, Washington, and transacts business through that office.

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1.3 There may be unknown entities or "John Does" who may be at fault and when their 1 identification becomes known, these pleadings may be amended accordingly. 2 II. JURISDICTION AND VENUE 3 2.1 This Court has subject matter jurisdiction over this action under 28 U.S.C. § 1331, 4 28 U.S.C. § 1332(a), and 28 U.S.C. § 1349. 5 2.2 This Court has pendent and supplemental subject matter jurisdiction over the state 6 law claims pursuant to 28 U.S.C. § 1367. 7 2.3 Venue is proper in this Court under 28 U.S.C. § 1391(b) because a substantial part 8 of the events or omissions giving rise to Plaintiff's complaint occurred in this District. 9 III. **FACTS** 10 3.1 On December 18, 2017, AMTRAK, through its employees and/or agents, operated, 11 managed, maintained, supervised, owned, designed, constructed, and/or controlled AMTRAK 12 Train No. 501, that originated in Seattle, Washington and was destined for Portland, Oregon. 13 3.2 On and before that date, AMTRAK was a corporation doing business as a common 14 carrier engaged in the transportation of passengers between various destinations in the State of 15 Washington, as well as other destinations throughout the United States and Canada. 16 Ms. Barriga purchased tickets, and on December 18, 2017, boarded AMTRAK 3.3 17 Train No. 501 at the Amtrak Station in Tacoma, Washington. Ms. Barriga was induced to purchase 18 tickets in part because she believed that AMTRAK employed all applicable safety precautions in 19 the operation of its trains. 20 3.4 On December 18, 2017, AMTRAK Train No. 501 traveled southbound on a section 21

of railroad track near Dupont, Washington. This railroad track was operated, managed, maintained,

supervised, owned, designed, constructed and/or controlled by Defendant AMTRAK, or it was

contracted to perform such control over the track. AMTRAK Train No. 501 was, upon information

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and belief, owned by Defendant AMTRAK and operated by employees and/or agents of Defendant AMTRAK.

3.5 A sharp curve existed on this segment of railroad track immediately west of where the railroad track crossed over Interstate-5 by bridge. The authorized track speed for this segment of track was 30 mph. Posted signs warned southbound trains of the approaching curve and the

- 3.6 On December 18, 2017 at approximately 7:33 a.m., AMTRAK Train No. 501 approached the curve and bridge crossing I-5 at a speed much greater than the posted speed limit for this segment of the track, and at an unsafe and unauthorized speed. Due to the high rate of speed, AMTRAK Train No. 501 derailed from the track once it encountered the curve and bridge.
  - 3.7 The derailment of AMTRAK Train No. 501 caused serious injuries to Ms. Barriga.
- 3.8 In 2008, Congress enacted the Rail Safety Improvement Act of 2008 (RSIA), which requires passenger railroads to install a Positive Train Control ("PTC") system no later than the end of 2015. The PTC systems mandated by Congress were designed specifically to increase safety and prevent derailments caused by excessive speeds, among other purposes. PTC provides real-time information to train crew members about, among other things, the areas at which a train must be slowed or stopped and the speed limits at approaching curves and other reduced-speed locations. PTC also warns the train crew of the train's safe braking distance in curved or reduced-speed locations, and displays the same on screens inside the locomotive's cab. If the engineer does not respond to the ample warnings and on-screen displays, the positive train control system will

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applicable speed limit for the curve.

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automatically activate the brakes and safely slow or stop the train. At all times relevant hereto, PTC systems were affordable, available, feasible, and intended to improve safety.

- 3.9 Upon information and belief, Defendant AMTRAK installed a PTC on AMTRAK Train No. 501, but knowingly and intentionally failed to make the system operable.
- 3.10 In response to an AMTRAK derailment outside of Philadelphia in 2015, Congress passed the Fixing America's Surface Transportation Act ("FAST Act"). In certain situations, the FAST Act required railroad carriers to "identify each main track location where there is a reduction of more than 20 miles per hour from the approach speed to a curve, bridge, or tunnel." Railroad carriers were required to develop speed limit action plans including "increased crew communication" to prevent overspeed derailments at the identified track locations. Importantly, the carrier, in this case AMTRAK, was responsible for meeting the requirements of the FAST Act and not the host railroad, Sound Transit.
- 3.11 Upon information and belief, Defendant AMTRAK failed to install or properly utilize adequate safety control systems, speed limit action plans, or other precautions for the segment of railroad track where the derailment occurred. Upon information and belief, Defendant AMTRAK failed to properly train, supervise, or control its employees and/or agents as to the operation of the train and/or as to particular hazards along the railroad track, including the speed limit on the curve, and failed to properly, train, supervise, or control its employees and/or agents in the implementation of increased crew communication to prevent overspeed derailments.
- Following an AMTRAK collision with a backhoe on the tracks near Chester, Pennsylvania in 2016, a NTSB investigation concluded that AMTRAK's management created a culture that enabled unsafe work practices by employees, and recommended that AMTRAK

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develop a comprehensive safety management system compliant with federal regulations and fully implement, fund, and promote it at all levels and locations of the company.

- 3.13 Upon information and belief, despite the NTSB recommendation, AMTRAK failed to develop and fully implement a comprehensive safety management system applicable to Train No. 501 and/or for the segment of railroad track where the derailment occurred.
- 3.14 After a 2017 AMTRAK derailment near Steilacoom, Washington caused by travelling too fast, the Washington State Department of Transportation ("WSDOT") issued a requested that AMTRAK provide a "Corrective Action Plan" "detailing protocols, procedures, and actions taken by Amtrak to prevent future occurrences of this nature."
- 3.15 Upon information and belief, AMTRAK failed to create a Corrective Action Plan per WSDOT's request, and/or failed to fully implement the protocols, procedures, and actions outlined in any such plan.
- 3.16 Defendant AMTRAK utilized a Talgo Series VI trainset rolling assembly for Train No. 501. This trainset did not provide adequate occupant protection in the event of the separation of its articulated connections or in the event of derailment. This trainset was not in compliance with then-current U.S. safety standards, and was not compliant with the terms and conditions of the Federal Railroad Administration's grandfathering agreement allowing their continued operation.
- 3.17 Upon information and belief, the inadequate safety standards of the Talgo Series VI trainset caused greater injury to the passengers on Train No. 501.
- 3.18 Defendant AMTRAK was aware from its extensive experience owning and operating trains and managing railways across the country of the need to have adequate train

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control systems, to use safe equipment, and to provide adequate training to its employees and agents in order to prevent derailments.

- 3.19 Defendant AMTRAK's failure to have a functioning PTC system, lack of an safety management system, or other adequate safety precautions or plans, its use of unsafe equipment, and/or its inadequate training of its employees and/or agents were each and all proximate causes of the derailment that caused Ms. Barriga's injuries.
- 3.20 As a result of the derailment, Ms. Barriga has suffered physical and emotional injuries, including but not limited to disability and/or physical impairment; loss of enjoyment of life; anxiety; distress and emotional trauma; economic losses; and other injuries and noneconomic damages that are ongoing.
- 3.21 Ms. Barriga intends to ride AMTRAK trains in the future, and has a reasonable fear that such a ride will result in personal injuries due to AMTRAK's failure to abide by safety protocols and a systemic problem with its safety culture.

## IV. **CAUSES OF ACTION**

## Α. First Cause of Action: Negligence

- 4.1 Plaintiff realleges and incorporates by reference the paragraphs set forth above.
- 4.2 At all material times, AMTRAK, through its employees and/or agents, and DOES 1-50, owed Plaintiff a duty to exercise ordinary care in the performance of their respective duties related to (1) their operation, management, maintenance, supervision, ownership, design, construction, and/or control of the train track on which Train 501 operated on December 18, 2017; (2) the design, manufacture, supply, inspection, maintenance, repair, and operation of the

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locomotives and cars on the train; and (3) training of its employees and/or agents for operation of 1 the train on the track. 2 4.3 Defendants AMTRAK and DOES 1-50 failed to exercise ordinary care because 3 they did not take the care that a reasonable person would take under the circumstances in the 4 performance of their respective duties related to the track, locomotives and cars, and training of the employees and/or agents operating the train. 6 AMTRAK was a common carrier and owed Plaintiff the highest duty of care. 4.4 7 AMTRAK through its agents and/or employees, was at fault and violated the highest duty of care, 8 including but not limited to one or more of the following ways: 9 a. Failed to slow down Train No. 501 at the above-referenced location, when it knew or 10 should have known that the speed was too fast for the sharp curve; 11 b. Operated Train No. 501 in excess of the authorized, posted, safe and/or lawful speed limit; 12 c. Failed to install and render operable proper train-control, safety, and speed systems, 13 including PTC; 14 d. Operated the train without keeping a safe and proper lookout; 15 e. Failed to comply with Amtrak's own operational and safety plans, protocols, rules, standards, and procedures; 16 f. Failed to comply with the applicable federal standards of care, including but not limited 17 to the failure to comply with applicable federal statutes or regulations, and the implementation of recommended safety improvements; 18 g. Failed to properly train its agents and/or employees in the safe operations of the train; 19 h. Failed to properly train its agents and/or employees regarding the track at issue; 20 i. Failed to properly supervise its agents and/or employees in the proper operation of the 21 Train:

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	j.	Failed to exercise ordinary and reasonable care in the design, construction, inspection,	
1	approval, maintenance, repair, and operation of the track where Train No. 501 derai		
2	and		
3		Failed to design, manufacture, inspect, maintain, and/or repair the locomotives and cars on Train No. 501 and all components and parts thereto safely.	
4	4.5	As a direct and legal cause of Defendants' negligence, Train No. 501 derailed and	
5	caused Plaintiff's injuries and damages.		
6	В.	Second Cause of Action: Violation of the Consumer Protection Act	
7	4.6	Plaintiff realleges and incorporates by reference the paragraphs set forth above.	
8	4.7	Defendant AMTRAK failed to provide material information to Plaintiff, thus acting	
9	unfairly or deceptively in trade or commerce in violation of the Washington Consumer Protection		
10	Act, RCW	19.86 et seq. ("CPA"), including, but not limited to, the failure to inform her that:	
11		The engineer had insufficient training and experience to safely operate Train No. 501	
12		on the Seattle to Portland Route and/or the segment of track where the train derailed on December 18, 2017;	
13 14		AMTRAK operated the train with an assistant conductor who was neither certified nor qualified;	
15		AMTRAK operated the train without enabling and/or providing a fully operational PTC or other safety devices;	
16		AMTRAK operated Train No. 501 without developing and properly implementing,	
<u> </u>		funding, and promoting a Corrective Action Plan requested by WSDOT;	
18		AMTRAK operated Train No. 501 without developing and properly implementing, funding, and promoting a safety management system program recommended by the	
19		NTSB.	
20	4.8	AMTRAK has engaged in a pattern of unfair, deceptive, and unlawful conduct that	
21	has the capacity to deceive a substantial portion of the public in violation of the CPA. Plaintiff is		

1	entitled to treble damages, reasonable attorney fees, costs of suit, and such other relief as may be		
2	permitted by statute.		
3	4.9 AMTRAK's CPA violations have directly and proximately caused injury, damages,		
4	and losses, including loss of business or property, to Plaintiff.		
5	4.10 loss of business or property, to Plaintiff.		
6	V. JURY DEMAND		
7	5.1 Plaintiff hereby demands a jury trial on the causes of action set forth herein.		
8	VI. PRAYER FOR RELIEF		
9	WHEREFORE, Plaintiff, having asserted claims for relief, now prays for the following		
10	relief:		
11	6.1 For judgment in favor of Plaintiff and against Defendants for all economic and		
12	non-economic damages, both past and future, along with all available compensatory,		
13	pecuniary, punitive, and exemplary damages, in amounts to be proven at trial;		
14	6.2 For judgment declaring Defendant AMTRAK to be in violation of the CPA, and		
15	for all fees and costs, including attorney's fees, treble damages, prejudgment interest, and all		
16	other damages recoverable under that Act;		
17	6.3 For injunctive relief to protect the public;		
18	6.4 Punitive and/or exemplary damages under choice of law principles due to		
19	AMTRAK's willful disregard of the rights of Plaintiff and the public, and outrageous and		
20	reckless conduct toward the safety of Plaintiff and the public;		
21	6.5 For such other and further relief as the Court deems just and equitable in the		
	premises.		

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1	Plaintiff's investigation is ongoing. Further investigation may reveal the identity of		
2	DOES 1-50, additional acts of negligence, or additional claims against Defendants. Plaintif		
3	reserves the right to amend the complaint and to seek other damages as appropriate.		
4	DATED this 22nd day of October, 2020.		
5	SMITH MCBROOM, PLLC		
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